

## Statement on Efforts to Combat Slavery and Human Trafficking in Supply Chains

This statement is made pursuant to Section 54 of the U.K. Modern Slavery Act 2015 and the California Transparency in Supply Chains Act 2010 for the year ended December 31, 2019.

## **ABOUT VERTIV**

Vertiv brings together hardware, software, analytics, and ongoing services to ensure customers' vital applications run continuously, perform optimally, and grow with their business needs. Vertiv solves the most important challenges facing today's data centers, communication networks, and commercial and industrial facilities with a portfolio of power, cooling, and IT infrastructure solutions and services that extend from the cloud to the edge of the network.

Vertiv is headquartered in Columbus, Ohio and has regional offices located in the United Kingdom, China, India, and the Philippines. We have approximately 20,000 employees and sell products to customers worldwide. Our supply chain includes more than 10,000 vendor partners in 115 countries providing Vertiv with manufactured components, raw materials, software, professional services, logistics support, and subcontracted services.

## EFFORTS TO COMBAT SLAVERY AND HUMAN TRAFFICKING IN SUPPLY CHAINS

Vertiv is committed to complying with all applicable laws and employment regulations where we operate, and we do not engage in forced labor or human trafficking. In 2019, we became a member of the Slavery & Trafficking Risk Template (STRT) Development Committee of the Social Responsibility Alliance. In connection with this effort, we enhanced our human rights policies to specifically incorporate the principles of the STRT.

Our policies and codes of conduct oppose—and we forbid our suppliers to use—any form of forced, bonded, or indentured labor. In support of these policies, Vertiv takes the following specific actions to prevent and mitigate the risk of slavery and human trafficking in our supply chain:

- **1. Verification**. As part of the vendor monitoring and review process, Vertiv self-assesses supply chain sourcing risks, including the risk of slavery and human trafficking. The supplier verification framework includes specific inquiries into human rights and anti-human trafficking efforts.
- 2. Audits. As part of the supplier onboarding process, suppliers of production materials undergo an audit that includes the disclosure and evaluation of the supplier's policies related to human rights and trafficking. Vertiv does not perform periodic audits of our suppliers to assess their compliance with our Vertiv Supplier Code of Conduct.
- **3. Certification**. Vertiv's Supplier Code of Conduct contains specific language prohibiting slavery and human trafficking. Vertiv's suppliers, as a condition of doing business with Vertiv, are expected to comply with our Supplier Code of Conduct, in addition to applicable laws regarding slavery and human trafficking in countries in which they operate.



## A Message from the Legal Department

In 2019, Vertiv implemented a supplier certification program that triages our suppliers according to the three tiers identified in the U.S. State Department Trafficking in Persons Report. We request all major suppliers and any supplier operating in a country identified as Tier 2, Tier 2 watchlist, or Tier 3 by the U.S. State Department to formally acknowledge Vertiv's Supplier Code of Conduct and to complete the Slavery and Trafficking Risk Template (STRT) each year.

Vertiv's largest population of employees and its main manufacturing centers in the Asia Pacific region are in mainland China. On April 2, 2020, Vertiv's mainland China operations achieved SA8000 certification, which reflects Vertiv's commitment to fair treatment and human rights in the workplace.

- 4. Accountability. Vertiv has implemented a toll-free, 24 hour-per-day reporting hotline available to all employees, suppliers, and customers worldwide to report any suspected violations of Vertiv's Code of Ethics or Supplier Code of Conduct, including any suspected violations of our policies against slavery and human trafficking. A supplier's breach of our Supplier Code of Conduct requires immediate corrective action and may include termination of the business relationship.
- 5. Training. Vertiv's Code of Ethics expressly states our prohibition against slavery and human trafficking. The Code of Ethics outlines the actions and behaviors expected from every employee, officer, and director around the world on how we do business. Training on our Code of Ethics is delivered annually to employees worldwide and all employees are required to sign an acknowledgement stating that they understand and will comply with the Code of Ethics, and that they are aware that a violation could result in disciplinary action, up to and including termination.

In 2019, Vertiv delivered specific anti-human trafficking training to our worldwide employees in the procurement, services, human resources, supplier quality, and legal departments. These employees have been trained to identify and report any indication of child or forced labor. In addition, we delivered training webinars for our suppliers on our Supplier Code of Conduct and anti-human trafficking program in both English and Mandarin.

This statement has been approved by the board and signed by a director of the following Vertiv entities as required by applicable law:

- Chloride Group Limited
- Geist Europe Ltd.
- Vertiv Corporation
- Vertiv Industrial Systems SAS
- Vertiv Infrastructure Limited
- Vertiv Integrated Systems GmbH
- Vertiv International Designated Activity Company
- Vertiv Slovakia a.s.
- Vertiv S.r.l.